IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

Inventor: Willis, et al. Docket No.: 10060.0100

Scrial No.: 09/844,198 Examiner: Jocelyn Greimel

Filing Date: April 27, 2001 Art Unit: 3693

Title: Computer-implemented Method and

Apparatus for Adjusting the Cost Basis

of a Security

AMENDMENT AND RESPONSE TO OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Commissioner:

This amendment is made in response to the Office Action mailed February 07, 2007.

Remarks/Arguments begin on page 2 of this paper.